•	_				H-11
QUERY CONTRO	L FORM			RTISU	SE ONLY
Application No.	10/045, 231	Prepared by	NBB	Tracking Number	0588/08Z
Examiner-GAU	015HEA-2875	Date	3/3/04	Week Date	12/29/03
		No. of queries	4	1FW	

	J	ACKET	
a. Serial No.	f. Foreign Priority	k. Print Claim(s)	p. PTO-1449
b. Applicant(s)	g. Disclaimer	I. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION	MESSAGE
a. Page Missing	U pp-1449 (12 pages): Please either initial or
b. Text Continuity	line though citations (Copy provided for reference).
c. Holes through Data	
d. Other Missing Text	2) Per Amat. dated 9/25/03, original claim 28
e. Illegible Text	Is concelled, but NOA and index of claims still
f. Duplicate Text	showed chim 28 as allowed.
g. Brief Description	please advise prenumber the claims, IF
h. Sequence Listing	necessant.
i. Appendix	J
j. Amendments	(3) Original claims 29 and 30 both depends on
k. Other	original claim 31, Please advise correct claim dependent
CLAIMS	(4) Claim 31 ends incomplete - no ending period.
a. Claim(s) Missing	pleuse advise.
b. Improper Dependency	
c. Duplicate Numbers	Thum lunger
d. Incorrect Numbering	initials Own.
e. Index Disagrees	RESPONSE
f. Punctuation	
g. Amendments	
h. Bracketing	
1. Missing Text	
j. Duplicate Text	
k. Other	
, , , , , , , , , , , , , , , , , , , ,	initials

Form PTO-1449 (Rev. 8-88)		S. Departmer atent and Trac	nt of Commerce demark Office	Attorney Do 834	cket No. 2-86381		Serial No. 10/045,231	
OIPE	FORMATION DISCLOS	SURE STATE	MENT	Applicant	Kevir	L. Parsons		
SEP 0 2' 7003	(Use several silects			Filing Date Novem	ber 9, 2001	Group	P No.	
		U.S	S. PATENT DOCUME	NTS				
Examiner Initial OF 194	Document Number	Date	Name	9	Class	Subclass	Filing Date If Appropriate	
	762,720	6/14/04	Hubert					
	1,047,525	12/17/12	Hubert					
	1,315,457	9/9/19	Benedict					
	1,436,340	11/21/22	Gallagher				ļ. 	
	1,866,600	7/12/32	Rauch					
	2,249,692	7/15/41	Gelardin					
	2,412,056	12/3/46	Mosch					
	2,465,114	3/22/49	Oury					
		FORE	EIGN PATÉNT DOCU	IMENTS				
	Document Number	Date	Coun	lry	Class	Subclass	Translation Tes No.	
	GB 1,058,456	2/8/67	United Kingdom (S	ichwariz)		 		
:	GR 2 220 735 A	1/17/90	United Kingdom (8	leastall)		<u> </u>		
	H07-029701	6/2/95	Japan (Yoshii Mor	pura, Inc.)				
	GB 2,314,150 A	12/17/97	United Kingdom (S	Sinclair)	_			
				<u> </u>			<u> </u>	
			ncluding Author, Title	, Date, Pertine	nt Pages, E	Etc.)		
	Lumatec 1997 Holida	y Collection C	Catalog					
	Lumatec Wholesale	Price List (9/1/	(97)				···-	
	Lumatec Fall 1997 N	lewsletter		 -				
			I Data Caraidanad	·			 	
Examiner	_		Date Considered					
*Examiner.	Initial if citation con citation if not in con applicant.	sidered, whet formance and	her or not citation is in I not considered. Inc	n conformance lude copy of th	with MPER is form with	≥ 609; Draw i n next commu	ine through unication to	

Attorney Docket No. Serial No. U.S. Department of Commerce Form PTO-1449 (FReF. 8-88) 8342-86381 10/045,231 Patent and Trademark Office Applicant INFORMATION DISCLOSURE STATEMENT Kevin L. Parsons (Use several sheets if necessary) SEP 0 2' 2003 Group No. Filing Date November 9, 2001 U.S. PATENT DOCUMENTS Hozoff Mer Filing Date Class Subclass If Appropriate Name Initial* **Document Number** Date 7/26/55 Ackeman 2,714,152 9/11/56 Schwartz 2,762,907 6/2/59 Nordauist 2,889,450 10/9/62 Baker 3,057,992 3,085,149 4/9/63 Giwosky 4/9/63 Bautsch 3,085,150 3,119,564 1/28/64 Zalman 6/14/66 Schwartz 3,256,428 FOREIGN PATENT DOCUMENTS Document Numbe OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Alleged Photograph of Lumatec TinyMite Flashlight Photograph of Master Lock Backpack/Luggage Lock (prior to August 26, 1998) Chicago Miniature Lamp, Inc., catalog pages, 1998 Date Considered Examiner Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Rev. 8-88)	U P	.S. Departme atent and Tra	nt of Commerce demark Office	8342	Attorney Docket No. 8342-86381		Serial No. 10/045,231	
OIP F	NFORMATION DISCLOS (Use several sheets	SURE STATE if necessary	MENT)	Applicant Filing Date Novemb	Kevir er 9, 2001	L. Parsons Grou	p No.	
£p 0 2`2003	<u> </u>	U.S	S. PATENT DOCUM			· · · · · · · · · · · · · · · · · · ·		
Examiner (Control of the Control of	Document Number	Date	Nar	ne	Class	Subclass	Filing Date If Appropriate	
- ALIA	3,310,668	3/21/67	Schwartz					
	3,330,949	7/11/67	Bush					
	3,345,508	10/3/67	Sze-Yuen Chung					
	3,359,411	12/19/67	Schwartz					
	3,589,155	6/29/71	Kamp					
	3,613,414	10/19/71	Ostrager					
	3,732,414	5/8/73	Franc					
	3,804,307	4/16/74	Johnston					
		FORE	EIGN PATÈNT DOC	UMENTS				
1	Dorument Number	Óate	CM.	prod		I substitute		
					-			
i								
	OTHER DO	CUMENTS (I	ncluding Author, Tit	le, Date, Perliner	nt Pages,	Etc.)		
	Snaptron, Inc., catalo	g pages, 2000)					
	Photon Micro-Light at	nd LRI Compa	any Profile, March 2	1, 2001				
	Lifestyle Fascination	s Catalog (La	te Summer 2001), t	wo pages bearin	g Bates N	los. LL 00179	2 - LL 001793	
-								
Examiner			Date Considered					
*Examiner:	Initial if citation cons citation if not in conf applicant.	idered, wheth formance and	ner or not citation is not considered. In	in conformance clude copy of thi	with MPE s form wit	P 609; Draw I	ine through unication to	

Attorney Docket No. Serial No. U.S. Department of Commerce PTO-1449 8342-86381 10/045,231 Patent and Trademark Office Applicant INFORMATION DISCLOSURE STATEMENT Kevin L. Parsons (Use several sheets if necessary) Group No. Filing Date 0 2 2003 SEP November 9, 2001 U.S. PATENT DOCUMENTS **EXEMPLE** Filing Date Subclass If Appropriate Class **Document Number** Date Name Initial* 3.860.847 1/14//75 Carley 3,866,035 2/11/75 Richey Witte 3,870,843 3/11/75 Goessling 3,913,362 10/21/75 2/28/78 **Fenton** 4,076,976 Wolter et al. 4,085,315 4/18/78 7/18/78 DuNah 4,101,955 10/24/78 Halliday 4,122,510 FOREIGN PATENT DOCUMENTS Date Document Number OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) International AutoSport Catalog ("Holidays 2001"), cover page and Page 27 bearing Bates Nos. LL 001798 - LL 001799 Lizell Catalog (Christmas 2001), two pages bearing Bates Nos. LL 001800 - LL 001801 "Hiyoshi 'Frosted' LED Globes, Decorative Lamp with Five Built-In LEDs," two pages bearing Bates Nos. LL 001770 - LL 001771 Date Considered Examiner Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-144! (Rev. 8-88)			nt of Commerce demark Office	Attorney Doc 8342	ket No. -86381	Serial No. 10/045,231	
PECIO	NFORMATION DISCLOS (Use several sheets	SURE STATE (s if necessary)	MENT	Applicant Filing Date			p No.
0 2 2003	:				er 9, 2001		
Examiner		U.S	S. PATENT DOCUM	ENTS	Τ		Filing Date
Examiner Andrew	Document Number	Date	Nam	e	Class	Subclass	If Appropriate
	4,129,899	12/12/78	Dunbar				
	4,164,132	8/14/79	Loman		<u> </u>		
	4,210,953	7/1/80	Stone				
	4,228,484	10/14/80	Johnstone				
	4,242,724	12/30/80	Stone	,			
	4,261,026	4/7/81	Bolha				
	4,276,582	6/30/81	Burnett				
	4,303,966	12/1/81	Wolter				
		FORE	EIGN PATENT DOC	UMENTS			, , , , , , , , , , , , , , , , , , , ,
	Opcupant Humber	Cate	Cour	itry:	7.11	Server	752.18834.000
		!				i	
				Ann hamman and the second and the se		!	
					 		
	OTHER DO	CUMENTS (I	ncluding Author, Title	Date Pertiner	nt Pages. I	 Etc.)	
	*Hiyoshi Frosted-LED						
	,						
	International Standar	d: Miniatum I	amne	····			
<u> </u>	International Standar	. Windowie L					
	<u> </u>		- 4 - 4 f - 11			<u> </u>	· · · · · · · · · · · · · · · · · · ·
. -	Nomenclature for Gla	ess Buibs Inte	naed for Use with Ele	cinc Lamps			
Examiner			Date Considered	<u> </u>			•
*Examiner:	Initial if citation con-	sidered, wheth	her or not citation is i	in conformance	with MPE	2 609; Draw I	ine through
	citation if not in con applicant.	formance and	not considered. Inc	dude copy of this	s form with	next commu	unication to

Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Attorney Docket No. Patent and Trademark Office 8342-86381				Seria	Serial No. 10/045,231		
	FORMATION DISCLOS			Applicant	Kevir	L. Parsons		
50 0 3'MM	(Use several sheets	ii riecessary)	,	Filing Date Novemb	er 9, 2001		p No.	
		U.S	S. PATENT DOCUM	ENTS		·	·	
initial DEM	Document Number	Date	Nam	e	Class	Subclass	Filing Date If Appropriate	
	4,336,574	6/22/82	Goodman					
	4,346,329	8/24/82	Schmidt					
	4,392,186	7/5/83	Cziment	,				
	4,398,237	8/9/83	Doyel.					
	4,399,495	8/16/83	Leopoldi et al.					
	4,408,261	10/4/83	Polakoff					
	4,409,645	10/11/83	Sloan		ļ			
	4,422,131	12/20/83	Clanton et al.				·	
		FORE	EIGN PATENT DOC	JMENTS				
	Cocument Nucrbor	Date	l Coun	(a)	Class	Sebelas:	Translaturi 1785 No	
				mana sa assana				
	<u>i</u>							
	OTHER DOO	CUMENTS (Ir	ncluding Author, Title	, Date, Pertinen	t Pages, E	Etc.)		
	Photocopies of Minia	ture Flashligh	t (source unknown)	pearing Bates N	os. LL 00°	1646 - LL 001	1648	
	REI Catalog "Get Ou	tside Yourself	f," cover page and P	ages 6-8 (date u	nknown) l	pearing		
	Bates Nos. LL 00178	8 - LL 00179	1		-			
	Counth Avenue Cat	nlog /dot- :	known) hun nager h	earing Rates No	ne 004	704 - 11 004	705	
-	Seventh Avenue Cat	alog (date un	known, two pages b	carny Dates NC				
Examiner			Date Considered					
*Examiner:	Initial if citation cons citation if not in confi applicant.	idered, wheth ormance and	ner or not citation is in not considered. Inc	n conformance volude copy of this	vith MPEF form with	2 609; Draw I	ine through unication to	

Form PTO-1449 (Rev. 8-88)			nt of Commerce demark Office		ket No. -86381		Serial No. 10/045,231	
PF	NFORMATION DISCLOS (Use several sheets			Applicant Filing Date		L. Parsons	o No.	
0 5 5003 5		11.5	S. PATENT DOCUM		er 9, 2001			
Examiner Initial*	Document Number	Date	Nan	-	Class	Subclass	Filing Date If Appropriate	
HAUES	4,433,365	2/21/84	Rousseau					
	4,517,627	5/14/85	Bradford					
	4,521,833	6/4/85	Wolter					
	D285,989	10/7/86	MacDonald					
	D290,518	6/23/87	Rakocy et al.					
	4,731,712	3/15/88	Amthor					
	4,768,138	8/30/88	Leopoldi et al.					
	4,893,222	1/9/90	Mintzer					
		FORE	EIGN PATENT DOC					
	Document Number	Date	l Cou	*5	17.75%	Sub-lass	Transactor Yes 190	
					_			
	OTHER DO	CUMENTS (II	ncluding Author, Titl	e, Date, Pertinen	t Pages, I	Etc.)		
	Taylor Gifts Catalog	(date unknow	n), cover page and	Page 14 bearing	Bates No	s. LL 001796	- LL 001797	
	·						· .	
		*						
Examiner			Date Considered					
*Examiner:	Initial if citation cons citation if not in cont applicant.	sidered, whether	her or not citation is I not considered. In	in conformance clude copy of this	with MPEI s form with	P 609; Draw I n next commu	ine through inication to	

Form PTO-1449) U	.S. Departmen atent and Trac	nt of Commerce demark Office	Attorney Doc 8342	ket No. -86381		Serial No. 10/045,231		
	VFORMATION DISCLOS	SURE STATE	MENT	Applicant	Kevir	L. Parsons			
	6\ (Use several sheets 면:	n necessaly)		Filing Date Novemb	er 9, 2001	Grou	p No. 		
. ﴿	,	U.\$	S. PATENT DOCUM	ENTS					
nitial DE	Document Number	Date	Nam	e	Class	Subclass	Filing Date If Appropriate		
	D310,306	9/4/90	MacDonald						
	D311,067	10/2/90	Chabria						
	5,008,784	4/16/91	Wang		<u> </u>				
	5,029,055	7/2/91	Lindh						
	5,043,854	8/27/91	Gammache			ļ	ļ		
	5,122,943	6/16/92	Pugh						
	5,143,442	9/1/92	Ishikawa et al.		<u> </u>	<u> </u>			
	5,158,356	10/27/92	Guthrie						
		FOR	EIGN PATENT DOC	UMENTS					
-	Populary Number	<u>Date</u>	Сош	ntry	ejirs.	Shadlass	Trenslation Yes No		
		<u> </u>							
	OTHER DO	CUMENTS (I	ncluding Author, Title	e, Date, Pertine	nt Pages,	Etc.)			
	T						·		
		· -							
. -									
Examiner			Date Considered						
*Examiner:	Initial if citation con citation if not in con applicant.	sidered, whet formance and	her or not citation is d not considered. In	in conformance clude copy of th	with MPE is form wit	P 609; Draw th next comm	line through unication to		

Serial No. Form PTO-1449 U.S. Department of Commerce Attorney Docket No. 10/045,231 Patent and Trademark Office 8342-86381 (Rev. 8-88) Applicant INFORMATION DISCLOSURE STATEMENT Kevin L. Parsons " (Use several sheets if necessary) Group No. Filing Date November 9, 2001 U.S. PATENT DOCUMENTS Filing Date If Appropriate Class Subclass **Document Number** Date Name 5,181,927 1/26/93 Song D337,200 7/13/93 Keller Goldston et al. 5,285,586 2/15/94 5,386,351 1/31/95 Tabor 10/10/95 Vandenbelt et al. 5,457,613 Vandenbelt et al. 5,463,539 10/31/95 Chien 11/7/95 5,465,197 12/12/95 Collins 5,475,368 FOREIGN PATENT DOCUMENTS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Date Considered Examiner Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-144 (Rev. 8.88)	9 U P	.S. Departme atent and Tra	nt of Commerce demark Office	Attorney Doc 8342	ket No. -86381	Seria	l No. 10/045,231
) ` ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	FORMATION DISCLOS	SURE STATE	MENT)	Applicant	. Kevir	L. Parsons	p No.
2, 2003	7 - 6		, 	Filing Date Novemb	er 9, 2001		р No.
	\$ /	U.	S. PATENT DOCUM	ENTS			Ten par
Examiner ACC	Document Number	Date	Nan	ne	Class	Subclass	Filing Date If Appropriate
	5,541,817	7/30/96	Hung				
	D372,356	8/6/96	Marguerite				
	5,617,751	4/8/97	Song	· · · · · · · · · · · · · · · · · · ·			
	D381,803	8/5/97	Crego		-		
	5,730,013	3/24/98	Huang				
	D394,345	5/19/98	Marguerie		<u> </u>		
	D400,326	10/27/98	Fisher				
	D401,371	11/17/98	Chen				
to achief h simulacions a parameters are not confirmed 1980.		FOR	EIGN PATENT DOC	UMENTS			Translation
	Locument Number	Date -	ļ <u>1.00</u>	Niry	1,3123.1	1 - 1844-185	
		 - 					
							<u> </u>
. <u>.</u>	OTHER DO	CUMENTS (II	ncluding Author, Titl	e, Date, Pertiner	nt Pages, E	Etc.)	
	·	-		·			·
						·····	
Examiner			Date Considered				
*Examiner:	Initial if citation cons citation if not in conf applicant.	idered, wheth ormance and	ner or not citation is not considered. In	in conformance clude copy of this	with MPEI s form with	9 609; Draw	ine through unication to

Form PTO-1449 (Rev. 8-88)	P	atent and Trad	nt of Commerce demark Office	Attorney Doct 8342-	et No. 86381	Seria	l No. 10/045,231
IPE TO	NFORMATION DISCLO	SURE STATE s if necessary	MENT)	Applicant Filing Date			p No.
p 0 2 2000		11.5	S. PATENT DOCUM		er 9, 2001		
Examiner Third In the International Internat	Document Number	Date	Nam		Class	Subclass	Filing Date If Appropriate
	D402,069	12/1/98	Chan				
	5,893,631	4/13/99	Padden				
	5,894,196	4/13/99	McDermott				
	5,934,789	8/10/99	Sinclair et al.				
	5,956,985	9/28/99	Chang				
	6,006,562	12/28/99	Wolter				
	6,039,454	3/21/00	Hallgrimsson				
	6,109,762	8/29/00	Hallgrimsson et al.				
		FORE	EIGN PATENT DOC	JMENTS			-
	Document Number		Cour	try	Lanva.	Subclass	Translation Mas Mi
					<u> </u>		
					i	· !	
					-		
		-					
					l	<u> </u>	
	OTHER DC	CUMENTS (I	ncluding Author, Title	e, Date, Pertinen	Pages, 6	etc.)	
-						·	
<u> </u>							
Examiner			Date Considered		·		
*Examiner:	Initial if citation con citation if not in con applicant.	sidered, whethered and formance and	her or not citation is i I not considered. Inc	n conformance valude copy of this	vith MPER form with	P 609; Draw I n next commu	ine through inication to

*Examiner:	Initial if citation cons citation if not in conf applicant.	sidered, wheth formance and	ner or not citation is in not considered. Inc	n conformance slude copy of this	with MPEF s form with	2 609; Draw ii next commu	ne through nication to	
Examiner			Date Considered					
				<u> </u>				
							 	
	OTHER DO	ÇUMENTS (II	ncluding Author, Title	e, Date, Pertiner	t Pages, E	itc.)		
						1		
			A COLUMN ASSESSMENT AS					
	A CONTRACTOR CONTRACTO				İ			
	Documen Number	Ţ	Cour		01855	Bubclass	Translation	
		FORF	EIGN PATENT DOC	UMENTS		<u> </u>	<u> </u>	
·		<u> </u>						
· .					ļ .	<u> </u>		
						 		
	6,270,234 B1	8/7/01	Au-Yeung					
	6,164,795	12/26/00	Lopez					
Xamira X	Document Number	Date	S. PATENT DOCUM		Class	Subclass	Filing Date If Appropriate	
0 2 2003			DATENT DOCUM	Novemb	er 9, 2001		· 	
. [3]	FORMATION DISCLOS (Use several sheets)	SURE STATE s if necessary	MENT)	Filing Date	Kevin L. Parsons Group		p No.	
orm PTO-1449	Р	atent and Tra	nt of Commerce demark Office	Attorney Doc 8342 Applicant	8342-86381		Serial No. 10/045,231	